## **Proposal Review Process**

In response to the Call for Proposals for Cycle 4, ALMA received 1571 proposals by the 21 April 2016 submission deadline. The proposals were reviewed during a meeting in Vienna (Austria) on June 19–24. The review committee consisted of 18 ALMA Review Panels (ARPs), each comprising eight Science Assessors. The panels were distributed across five scientific categories:

- 1. Cosmology and the high redshift universe (4 panels)
- 2. Galaxies and galactic nuclei (4 panels)
- 3. ISM, star formation and astrochemistry (4 panels)
- 4. Circumstellar disks, exoplanets and the solar system (4 panels)
- 5. Stellar evolution and the Sun (2 panels).

Science Assessors were selected on the basis of scientific specialization while having the regional affiliation of the ARP members closely match the nominal ALMA regional shares of observing time. The 18 ARP Chairs served on the ALMA Proposal Review Committee (APRC), together with the APRC Chair, Anneila Sargent. The full list of Cycle 4 Science Assessors is given in the Appendix.

The proposal review process was carried out as described in the ALMA Cycle 4 Proposer's Guide. The Joint ALMA Observatory (JAO) created an observing queue and assigned a priority grade to each proposal after considering the scientific rank from the APRC, the share of observing time for each region, and scheduling feasibility to avoid oversubscribing configurations and LST ranges.

# **Proposal statistics**

Of the 1571 proposals submitted, 135 received the highest priority of Grade A, 340 received Grade B, and 232 received Grade C. Grades A and B were assigned such that the observing time on the 12m Array for each region corresponds to the regional share based on the 3000 h offered in Cycle 4. The titles, investigators, and abstracts of the <u>Grade A and B projects</u> are available from the ALMA Science Portal. Tables 1 and 2 list the number and requested time for all proposals grouped by region and science category, respectively. Various metrics of the proposal data are presented in the figures.

In Cycle 4, Large Proposals and VLBI proposals were accepted for the first time. Six proposals for 1mm VLBI and three for 3mm VLBI were accepted by both ALMA and the respective VLBI network. Two Large Proposals were accepted: *ASPECS: The ALMA Spectral Line Survey in the UDF* (P.I.: Fabian Walter) and *Small-scale Substructures in Protoplanetary Disks* (P.I.: Sean Andrews). Table 3 lists the number of Grade A and B projects for different proposal types.

	Chile	East Asia	Europe	North America	Open Skies	Total	
	(CL)	(EA)	(EU)	(NA)			
Submitted Proposals							
Number of Proposals	100	341	657	428	45	1571	
12m Array time (hours)	919	2573	4919	3594	282	12286	
7m Array time (hours)	397	1201	1539	1534	59	4730	
Total Power Array time (hours)	337	1385	1214	1249	62	4247	
Subscription rate							
12m Array (3000 h offered)	3.1	3.8	4.9	3.5	-	4.1	
7m Array (1800 h offered)	2.2	3.0	2.5	2.5	-	2.6	
Total Power Array (1800 h offered)	1.9	3.4	2.0	2.1	-	2.4	
Grade A and B Projects							
Number of projects	38	109	161	153	14	475	
12m Array time (hours)	294	696	1000	1011	79	3080	
7m Array time (hours)	70	259	171	304	6	811	
Total Power Array time (hours)	67	190	76	111	6	450	
Grade C Projects							
Number of projects	17	40	123	47	5	232	
12m Array time (hours)	101	267	681	290	31	1369	
7m Array time (hours)	69	114	342	62	16	604	
Total Power Array time (hours)	8	146	212	0	28	395	

## Table 1. Distribution of Cycle 4 proposals by region

Table 2. Distribution of Cycle 4 proposals by scientific category

	Category 1	Category 2	Category 3	Category 4	Category 5	Total	
Submitted Proposals							
Number of Proposals	355	380	351	317	168	1571	
12m Array time (hours)	3766	3328	2175	2011	1007	12286	
7m Array time (hours)	199	1503	2404	233	391	4730	
Total Power Array time (hours)	0	1500	2375	46	325	4247	
Grade A and B Projects							
Number of projects	100	109	116	100	50	475	
12m Array time (hours)	898	783	610	559	230	3080	
7m Array time (hours)	141	127	390	71	82	811	
Total Power Array time (hours)	0	108	221	44	77	450	
Grade C Projects							
Number of projects	56	55	65	37	19	232	
12m Array time (hours)	460	371	301	151	85	1369	
7m Array time (hours)	21	54	430	70	28	604	
Total Power Array time (hours)	0	21	333	0	40	395	

Table 3. Number of proposals and Grade A & B projects by proposal type

Proposal Type	Number Submitted		mber e A & B
All	1571	475	(30%)
ACA	315	79	(25%)
ACA Standalone	30	5	(17%)
Large Programs	27	2	(7%)
Polarization	90	45	(50%)
Solar	53	15	(28%)
Target of Opportunity	21	13	(62%)
VLBI	22	9	(41%)



Figure 1. Distribution of execution time for Grade A and B projects by region for the 12-m (left), the 7-m (center), and the Total Power (right) arrays.



**Figure 2.** Distribution of execution time for Grade A and B projects by science category for the 12-m (left), the 7-m (center), and the Total Power (right) arrays. The definitions of the categories are provided in the text.



Figure 3. Distribution of execution time for Grade A and B projects by receiver band for the 12-m (left), 7-m Array (center), and Total Power (right) arrays.



**Figure 4.** Number of proposals submitted as a function of the 12-m Array execution time, excluding Large Proposals. The median requested 12m time per proposal is 5.5 h, which is a 17% increase over Cycle 3.



Fraction of proposals with Grade A & B vs. 12m Array execution time

**Figure 5.** The fraction of proposals assigned priority Grade A and B as a function of the estimated 12m Array time. Uncertainties represent 68% (1 $\sigma$ ) confidence intervals for a binomial proportion.



**Figure 6.** Breakdown of the Grade A and B projects by scientific keyword, across all ALMA scientific categories. For each science keyword, the number of proposals in which it is selected is indicated.



**Figure 7**. Distribution of the amount of 12-m Array time per LST as a function of LST for the array configurations offered in Cycle 4. The red, orange, and blue histograms indicate Grade A, B, and C projects, respectively. The dotted line in each panel shows the expected amount of observing time available for the configuration.

### Appendix: Cycle 4 APRC and ARP members



Figure 8. Regional distribution of the Cycle 4 APRC and ARP members

### **APRC chair:**

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